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Attorney Docket **P1732R1**

PATENT

EL 889345792 US: Express Mail Number

February 22, 2002: Date of Deposit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION  
Assistant Commissioner of Patents  
Washington, D.C. 20231

**NON-PROVISIONAL APPLICATION TRANSMITTAL UNDER 37 CFR 1.53(b)**

Transmitted herewith for filing is a non-provisional patent application:

Inventor(s) (or Application "Identifier"):

**E. Sasha Paegle, San Francisco, California  
Dorothea Reilly, San Francisco, California  
Daniel G. Yansura, Pacifica, California**

Title: **PROCESS FOR PRODUCTION OF POLYPEPTIDES**

**1. Type of Application**

- [ ] This application is for an original, non-provisional application.
- [X] This is a non-provisional application claiming priority to provisional application no. 60/274,384, filed March 9, 2001, the entire disclosure of which is hereby incorporated by reference.
- [ ] This is a [ ] continuation-in-part [ ] continuation [ ] divisional application claiming priority to application Serial Number\_\_, filed \_\_, the entire disclosure of which is hereby incorporated by reference.

**2. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Non-provisional )**

41 pages of specification  
3 pages of claims  
1 page(s) of abstract  
23 sheet(s) of drawings  
[X] formal [ ] informal  
5 page(s) of sequence listing

**3. Declaration or Oath**

(for new and CIP applications; also for Cont./Div. where inventor(s) are being added)  
X An executed declaration of the inventor(s) [ ] is enclosed [X] will follow.

(for Cont./Div. where inventorship is the same or inventor(s) being deleted)

02/22/02  
1c921 U.S. PTO

1c929 U.S. PTO  
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02/22/02

\_\_\_ A copy of the executed declaration/oath filed in the prior application is enclosed (37 CFR 1.63(d)).

(for Cont./Div. where inventor(s) being deleted)

\_\_\_ A signed statement is attached deleting inventor(s) named in the prior application (see 37 CFR 1.63(d)(2) and 1.33(b)).

#### 4. Assignment

(for new and CIP applications)

X An Assignment of the invention to GENENTECH, INC. [] is enclosed with attached Recordation Form Cover Sheet [X] will follow.

(for cont./div.)

\_\_\_ The prior application is assigned of record to Genentech, Inc.

#### 5. Amendments (for continuation and divisional applications)

\_\_\_ Cancel in this application original claims \_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

\_\_\_ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

#### 6. Fee Calculation (37 CFR 1.16)

The fee has been calculated as follows:

CLAIMS FOR FEE CALCULATION					
Number Filed	Number Extra		Rate	Basic Fee 37 CFR 1.16(a)	
				\$740.00	
Total Claims	35	- 20 =	15	X \$18.00	\$270.00
Independent Claims	4	- 3 =	1	X \$84.00	\$84.00
___ Multiple dependent claim(s), if any			+ \$280.00	\$0.00	
Filing Fee Calculation					\$1,094.00

#### 7. Method of Payment of Fees

The Commissioner is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$1,094.00. A duplicate copy of this transmittal is enclosed.

**8. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16 and 1.17, or credit overpayment to Deposit Account No. 07-0630. **A duplicate copy of this sheet is enclosed.**

**9. Additional Papers Enclosed**

- ☐ Information Disclosure Statement (37 CFR §1.98) w/ PTO-1449 and citations
- ☒ Submission of "Sequence Listing", computer readable copy, certificate re: sequence listing, and/or amendment pertaining thereto for biological invention containing nucleotide and/or amino acid sequence.
- ☐ A new Power of Attorney or authorization of agent.
- ☐ Other:

**10. Maintenance of Copendency of Prior Application (for continuation and divisional applications)**

*[This item **must** be completed and the necessary papers filed in the prior application if the period set in the prior application has run]*

- \_\_\_\_\_ A petition, fee and/or response has been filed to extend the term in the pending prior application until
- \_\_\_\_\_ A copy of the petition for extension of time in the **prior** application is attached.

**11. Correspondence Address:**

X Address all future communications to:

Attn: Janet E. Hasak

Respectfully submitted,  
GENENTECH, INC.

Date: February 22, 2002

By: \_\_\_\_\_

*Janet E. Hasak*  
Janet E. Hasak  
Reg. No. 28,616  
Telephone No. (650) 225-1896



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PATENT TRADEMARK OFFICE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of E. Sasha Paegle et al. Serial No.: Unassigned	Group Art Unit: Unassigned Examiner: Unassigned
Filed: February 22, 2002 For: PROCESS FOR PRODUCTION OF POLYPEPTIDES	<p style="text-align: center;">CERTIFICATION UNDER 37 CFR 1.10</p> <p>EL 889.345.792 US.: Express Mail Number  February 22, 2002 : Date of Deposit</p> <p>I hereby certify that this correspondence, consisting of CERTIFICATE RE: SEQUENCE LISTING RESPONSE UNDER 37 CFR § 1.821(f) and (g) and paper copy of Sequence Listing is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.</p> <p style="text-align: center;"><i>Pamela Gavette</i>  Pamela Gavette</p>

CERTIFICATE RE: SEQUENCE LISTINGRESPONSE UNDER 37 CFR § 1.821(f) and (g)

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

I hereby state that the Sequence Listing submitted herewith is submitted in paper copy and a computer-readable diskette, and that the information recorded in computer readable form is identical to the written sequence listing. I further state that this submission includes no new matter.

Respectfully submitted,  
GENENTECH, INC.

Date: February 22, 2002

By:

*Janet E. Hasak*  
Janet E. Hasak  
Reg. No. 28,616  
Telephone No. (650) 225-1896



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